

Critical periods in language acquisition and language attrition

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This paper reviews some of the predictions and assumptions made by different versions of the Critical Period Hypothesis which assumes that language acquisition will in principle be more successful in younger subjects. Findings from investigations of early vs. delayed acquisition of both a first and a second language which support this claim are presented. The question is then addressed whether the overall difference in ultimate attainment is a consequence of a loss of neural plasticity, or of the stabilizing of neural connections through the language learning process itself. The findings from a study of the L1 attrition of Korean adoptees in France are presented in support of the latter explanation.

Keywords: critical period; adoptees; neuroimaging; Korean

The issue of the “critical period hypothesis for language acquisition” is the focus of a vast literature (see, among others, Birdsong 1999a; Doupe & Kuhl 1999; Harley & Wang 1997; Lenneberg 1967; Long 1990; Newport, Bavelier & Neville 2001; Singleton & Lengyel 1995). It is important to stress at the outset that the expression “Critical Period Hypothesis” (CPH) is used with two different meanings. The first meaning of the CPH corresponds to an empirical hypothesis according to which humans are more efficient at language learning in the first years of life. In other words, the CPH states that age of acquisition is an important predictor of ultimate proficiency: the older one starts to learn a language, the smaller the odds of reaching native-like proficiency.

The expression “critical period hypothesis” is also sometimes used to refer to the concept that an age-related decline in neural plasticity is the cause of increasing difficulties in language learning (Penfield & Roberts 1959). According to this second meaning, the CPH is a potential explanation of age effects on language acquisition. The two meanings must be distinguished because there may

be a critical period according to the first meaning, that is, a detrimental effect of age of acquisition on ultimate proficiency in a language, even if the explanation in terms of loss of neural plasticity is wrong. In other words, an adverse effect of age of acquisition (AoA) may have other causes than irreversible neural changes (Birdsong 1999b lists some alternative explanations).

Another point is worth emphasizing. In the framework of the Critical Period Hypothesis (with the second meaning), it is often assumed that the putative loss of plasticity is due to maturational factors. Yet, it could also be the outcome of language acquisition itself, as suggested by the following quote from Wilder Penfield, one of the earliest advocates of the CPH:

Before the child begins to speak and to perceive, the uncommitted cortex is a blank slate on which nothing has been written. In the ensuing years much is written, and the writing is normally never erased. After the age of ten or twelve, the general functional connexions have been established and fixed for the speech cortex. (Penfield 1965:792, cited by Dechert 1995)

Another variant is defended by Steve Pinker:

Language acquisition circuitry is not needed once it has been used. It should be dismantled if keeping it around incurs any cost [...] Greedy neural tissue lying around beyond its point of usefulness is a good candidate for the recycling bin. (Pinker 1994:294–295)

The common theme is that once the child has learned the language(s) spoken around him, the neural modifications are *irreversible*. Before assessing the merits of competing theories, it has to be considered what the empirical evidence for a critical period with the first meaning is. Can language be acquired at any age or are the first years of life indeed critical?

Studies of critical periods in animals involve depriving the animals from some relevant stimulation during a given time window. For obvious reasons, this experimental approach cannot be used with humans: it would not be ethically acceptable to deny children exposure to language. Nevertheless, a few observations of abandoned children suggest that their language skills remained severely limited even after language instruction (e.g. Curtiss 1977; Itard 1964). Another source of information comes from studies of groups of congenitally deaf people who learned sign language as an L1 at different ages (Mayberry & Eichen 1991; Newport 1990). These studies show that those who were exposed to sign language in their very first years of life control it better than those who learned it in mid-childhood; the latter, in turn, perform better than those who were first exposed to sign language in their teens or later.

While it is sometimes proposed that neural changes critical for language acquisition occur around puberty, it seems that they can take place much earlier. Studies of auditory and language development in deaf children who receive cochlear implants – an auditory prosthesis that stimulates the auditory nerve in order to transmit acoustic information to the central auditory system – reveal that beneficial effects of earlier implementation can be observed in children when they are as young as 1 to 3 years of age (McConkey Robbins, Burton Koch, Osberger, Zimmerman-Philips & Kishon-Rabin 2004; Tomblin, Barker, Spencer, Zhang & Gantz 2005).

Are endogenous maturational factors the only cause of the age effect on L1 acquisition? There is evidence against this hypothesis. The fact that the deaf children who did not learn L1 in infancy were *deprived* from normal linguistic input in their first years of life plays a major role. This has been clearly established by Mayberry, Lock & Kazmi (2001) who compared two groups of deaf adults who had learned American Sign Language (ASL) relatively late, between 9 and 15 years of age. ASL was the L1 for the participants of the first group, who were congenitally deaf. Participants in the second group were born with normal hearing and had started to acquire English before they became deaf. Therefore, ASL was their L2 (note that the grammar of ASL differs markedly from English grammar (Klima & Bellugi 1979)). Mayberry et al. found that the second group largely outperformed the first in ASL. This result shows that experience plays a role because if only maturational factors were at play then the proficiency in ASL should only depend on age of acquisition of ASL and both groups should perform similarly. Moreover, the fact that those who learned an L1 early in life performed better than those who were deprived from any input contradicts Penfield's idea that exposure to the L1 "fixes" neural connections. On the contrary, learning and using a language in the first years of life maintains the capacity to acquire a new language. This observation corroborates the "exercise hypothesis" according to which the language learning capacity decreases when it is not used (Bever 1981).

The studies on the effect of age on the acquisition of an L1 demonstrate that *deprivation* has rapid detrimental effects on the capacity to learn a language. As deprivation is the usual test applied to assess critical periods in animals, it appears undeniable that there is a critical period for L1 acquisition in humans. The brain must be exposed to language to develop 'normally'. One possible explanation is that in the absence of linguistic stimulation, the brain areas that normally subserve language processing may be recruited for other functions. This interpretation is supported by data from Lee, Lee, Oh, Kim, Kim, Chung, Lee & Kim (2001) showing that the benefits of cochlear implantation are inversely related to the amount of metabolism in the temporal lobes. In other words, deaf people who have an abnormally low metabolism in the temporal region profit more from

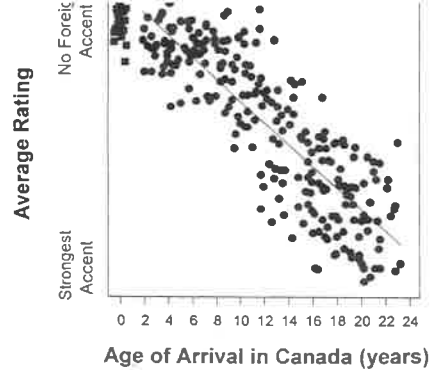


Figure 1. Average foreign accent ratings for 240 native speakers of Italian who arrived in English-speaking Canada between the age of 2 and 23 (filled circles) and 24 English controls (squares). (Data are from Flege 1999)

implants than the deaf people who have higher metabolism, presumably because in the latter case, these areas are recruited for extra-linguistic functions. However, more data is needed to confirm this interpretation.

Considering the detrimental effect of delays in learning an L1 as the result of deprivation is a hypothesis remote from the ideas expressed in Penfield's and Pinker's quotations above. Indeed, they propose that it becomes more difficult to learn a language with age, either because learning the L1 has "fixed" the neural connections (Penfield) or because the language learning systems are disposed of when the L1 is acquired (Pinker). To accommodate the effects described above, it would at least be necessary to modify Pinker's proposal by adding that the language learning system can also disappear when it is not stimulated, that is, in the absence of linguistic input.

How does the CPH fare in the more common situation where people have not been deprived of language exposure in early childhood, but have learned an L2 later? The effect of age of acquisition of an L2 is the focus of a huge literature which cannot be reviewed here (see Birdsong 1999a; Singleton & Lengyel 1995; Strange 1995). In brief, the effect of age of acquisition (AoA) is indisputable: the earlier an L2 is learned, the higher the likelihood of becoming a proficient speaker in L2 (Singleton 1995). For example, AoA has a clear effect on foreign accent in speech production (Flege, Munro & MacKay 1995; Oyama 1976; see Figure 1). Even if other factors like duration of exposure and amount of use of an L2 also influence its final level of proficiency, age of acquisition seems to be the strongest predictor of ultimate achievement.

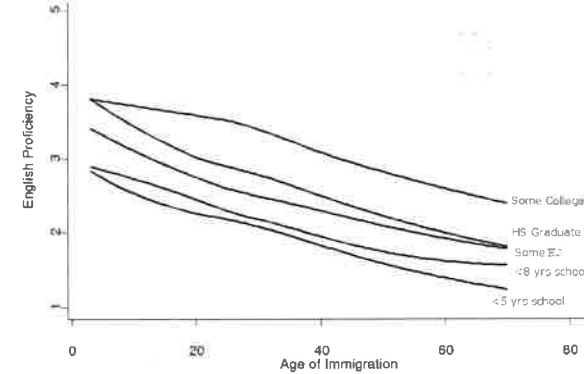


Figure 2. Self-reported English proficiency for native Chinese immigrants as a function of age of arrival, separated by educational attainment. Data reported in Hakuta et al. (2003)

Having said that proficiency decreases with age of acquisition, two points are still worth mentioning. First, this affirmation is a statistical claim over relatively large samples of subjects. It does not imply that a given person should not try to learn an L2 because he or she is already too old. There is considerable inter-individual variability in L2 attainment and it may be possible that some individuals manage to reach native-like performance even when they have learned their L2 well after childhood (see Birdsong 1999b; Bongaerts 1999; but also Hyltenstam & Abrahamsson 2003).¹

Second, the shape of the curve relating age of acquisition and proficiency does not conform to the notion that critical changes occur around puberty. For L1 acquisition, the effects of delay in age of acquisition on L2 can occur very early. For instance, Weber-Fox & Neville (1996) described Chinese-English bilinguals who were immersed in English before 6 years of age, yet perform significantly worse than native English speakers on some grammatical tests. Moreover, while some versions of the CPH predict a discontinuity around puberty, plots of proficiency in an L2 as a function of age of acquisition display a smooth, gradual decline starting from early childhood and extending throughout life (Birdsong & Molis 2001; Flege et al. 1995; Hakuta, Bialystok & Wiley 2003; see Figure 2). If the effect of age of acquisition is due to a loss of neural plasticity, then the only legitimate conclusion appears to be that the underlying neural changes are quite continuous and are not restricted to a limited time window.

1. Of course, if one finds individuals who obtain native-like scores on a range of linguistic or psycholinguistics tests, this does not imply that they perform like monolinguals in every respect. Thus, it is impossible to provide definite proof that a given bilingual subject has reached native-like proficiency in his L2.

Do delays in age of acquisition have similar effects for L1 and L2 acquisition? This is an important question because the answer could indicate whether the same mechanism is at work in both cases. To my knowledge, data on the effect of age on L1 acquisition are too scarce to know the precise shape of the age effect. Yet, the study by Mayberry et al. (2001) suggests that the effect of delay on L1 acquisition is much greater than for L2 acquisition. That is, the effect of deprivation on language learning seems much more devastating than the effect of having been exposed to an L1. Therefore, I believe it is likely that the effect of age of acquisition for an L2 is due to a different mechanism.

One possible explanation appeals to maturational factors that engender a progressive “loss of neural plasticity” in the brain (whether or not such a phenomenon would be specific to language networks is debatable). Another type of explanation postulates that it is the process of learning the L1 itself that stabilises neural connections. The more advanced the learning of the L1, the less the language networks can be modified by exposure to L2. Note that the two hypotheses are not incompatible: both mechanisms could be at play during development. For example, the proactive interference mechanism could be responsible for the slope of the age function observed in the first ten years of life, while the maturational constraints could play a role in the older age range.

As an instance of interference of L1 on L2 acquisition, consider the Spanish-Catalan bilinguals described in Pallier, Bosch & Sebastian-Gallés (1997). Despite extensive exposure to Catalan from the age of 4 to 6, the native Spanish speakers do not perform like Catalan native speakers on the phonemic contrast between open versus close /e/. A likely explanation is that this phonemic contrast involves speech sounds which are assimilated onto a single phonemic category in Spanish (this situation is called *single category assimilation* by Best (1995) or *perceptual equivalence* by Flege, Takagi & Mann 1995). Thus, speakers of Spanish as an L1 hear Catalan through the filter of the Spanish phonetic system, an example of interference from L1 onto L2.²

Disentangling maturational and interference accounts is not an easy task. Yet, it seems to us that while the maturational hypothesis entails the irreversibility of changes, interference theories need not make this prediction. That is, contrary to Penfield's assertion, the modifications engendered by the learning processes

2. In the title of the paper (Pallier, Bosch & Sebastian-Gallés 1997) we used the expression “lack of behavioral plasticity” to describe this failure to acquire a new phonemic contrast. This is because we deemed it unlikely that this limitation was due to a lack of neural plasticity in the auditory cortex of the Spanish children. In the conclusion of the paper, we questioned whether such an effect was irreversible or not.

could be reversible. This leads to the interesting prediction that the acquisition of an L2 might be facilitated if L1 ceased to be used.

What happens when someone learns an L2 after having *stopped using L1*? International adoption provides an opportunity to address this question. A few years ago we contacted organisations in charge of adoption in France and recruited a small sample of young adults who had been adopted by French-speaking families in their childhood. They were all born in Korea and came to France when they were between 3 and 10 years old. All of them claim to have completely forgotten Korean (though some had memories from their life in Korea.) This is seemingly a very general phenomenon among internationally adopted children (Maury 1995, 1999). French had become the main language of our group of adoptees and they speak it fluently without any detectable accent. We will briefly review here some of the experiments performed on those participants (for more details, see Pallier, Dehaene, Poline, LeBihan, Argenti, Dupoux & Mehler 2003; Sangrigoli, Pallier, Argenti, Ventureyra & de Schonen 2005; Ventureyra 2005; Ventureyra & Pallier 2004; Ventureyra, Pallier & Yoo 2004).

Our first three behavioural experiments were designed to assess the adoptees' residual knowledge of the Korean language. Their performances were compared with that of a control group of native-French speakers who had never been exposed to Korean, nor to any other Asian language (Pallier et al. 2003). The *Korean sentence identification* experiment involved recognising sentences in Korean among recordings in different languages. In the *word recognition* experiment, participants heard two Korean words and had to select the one which was the translation of a given French word. Lastly, in the *speech segment detection* experiment, the task was to decide if specific speech fragments were present in sentences in various languages, including Korean. The results show similar patterns of performance for the adoptees and for the control group of native French speakers (see Figure 3), providing a first validation of the adoptees' claim that they had forgotten their L1.

While the participants performed the speech segment detection task, their brain activity was monitored using functional magnetic resonance imaging (fMRI), a technique that allows to detect changes in patterns of brain activity when the participants process the stimuli. The individual analyses of fMRI data showed no detectable difference in brain activity when comparing the cerebral responses to Korean sentences versus Japanese or Polish sentences, two languages to which the adoptees had never been exposed. Thus, brain imaging data and behavioural data converge on the conclusion that years of exposure to a language in childhood are not sufficient to maintain a solid knowledge of this language.

This result can be interpreted in two different ways. First, the Korean language may have been “erased” from the brain of the adoptees. This would con-

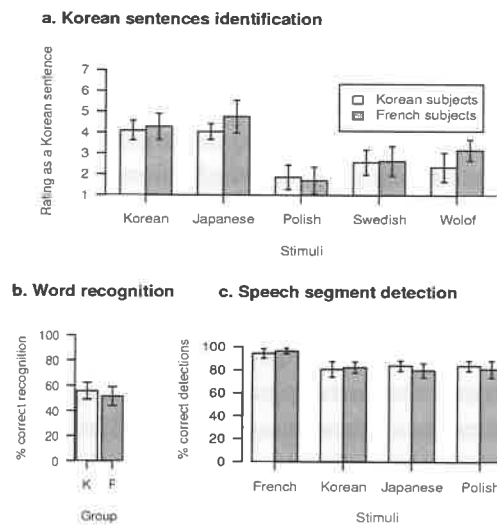


Figure 3. Results on three behavioural tasks showing similar levels of performance of Korean adoptees and a control group of French native speakers

stitute strong evidence against versions of the CPH that state that some “neural connections” become fixed in the early years of life, as a result of learning and/or because of maturational factors. Such theories predict that the adoptees (at least those who arrived at older ages) should have displayed some sensitivity to Korean. The metaphor of the imprinted clay tablet used by Penfield therefore has to be rejected. It must be noted, however, that as the adoptees from our studies arrived in France before the age of ten, we cannot exclude the possibility that irreversible changes occur after 10 years of age.³

A second possible interpretation is that the paradigms used by Pallier et al. (2003) lacked sensitivity and that further testing might uncover effects of the early exposure to Korean. With Valerie Ventura, we ran a series of behavioural experiments to test more thoroughly the remnants of Korean in a larger group of twenty adoptees. In brief, we found virtually no significant difference between the adoptees and native French speakers. For example, the adoptees did not perceive the differences between Korean plain, tense and aspirated stop consonants better than French participants (Ventureyra et al. 2005). The only difference between the adoptees and native French speakers came about in an experiment assessing

3. It would be desirable to know what happens when someone switches to a new language at an age above 10. Studies of language attrition in adults seem to indicate that they show much less attrition than children. Thus, it is possible that plots of language attrition as a function of age show a non-linearity around puberty.

the recognition of the Korean number series: while the adoptees gave the highest scores to Korean recordings (but did not recognise them formally), the control participants who had never been exposed to Korean gave the highest scores to Thai and Chinese (Ventureyra & Pallier 2004). As these were the only tone languages included in the recordings, this may reflect an implicit knowledge of adoptees that Korean is not a tone language.

One important question is whether the adoptees could relearn their native language faster or better than people who have never been exposed to Korean. If the adoptees showed such an advantage, this would provide evidence for remnant traces of early exposure to Korean. From an anecdotal point of view, the adoptees who visited Korea for short stays (from a few days to a few months) did not miraculously recover the ability to speak or comprehend the language, and nor did the few who took Korean courses.

Some researchers have reported benefits of exposure to a language in infancy or childhood when relearning it (Au, Knightly, Jun & Oh 2002; Oh, Jun, Knightly & Au 2003; Tees & Werker 1984). For example, Oh et al. (2003) evaluated the perception and production of Korean consonants by three groups enrolled in Korean language classes: one group had spoken Korean regularly for a few years during childhood, another group had heard Korean regularly during childhood but had spoken it minimally, and a last group consisted of novice learners. The first two groups performed better than the novice learners, demonstrating long-term benefits of early childhood experience with Korean. In these studies, however, the participants were not completely severed from the language of interest. In the study by Oh et al. (2003), for example, the participants had been continuously exposed to Korean for four hours a week on average. Therefore, their situation was quite different from that of adoptees who had not been exposed at all to Korean since adoption. Whether or not the adoptees would relearn their L1 faster than novice learners remains an open question.⁴

Let us now consider the L2 learned by the adoptees. Data from brain activations comparing the activations elicited by French and foreign languages show similar patterns in the adoptees and the control group of native French speakers (Pallier et al. 2003). Thus, the processing of French recruits the same brain areas

4. A phonetic training experiment, described in Ventureyra’s thesis, was set up. Unfortunately, most participants did not complete it, probably because the starting level of difficulty was too high. This study is therefore not conclusive. Ideally, the participants in a retraining experiment should be adoptees who enrolled in Korean language classes and have a high degree of motivation. At the 2nd International Conference on First Language Attrition held in 2005 in Amsterdam, Kenneth Hyltenstam mentioned results obtained by Hyeon-Sook Park at the University of Stockholm suggesting that after a few months of courses, Korean adoptees perceived Korean consonants better than novice learners.

in both groups. Note however that this is a comparison between groups and that there is non-negligible interindividual variability. Therefore it cannot be determined if the brain areas used by the adoptees to process French are precisely the same that they used for processing Korean in their childhood (Kim, Relkin, Lee & Hirsch 1997). Only a longitudinal study, where children could be scanned before and after adoption, would settle this question.

A most important question regards the level of proficiency attained in French by the adoptees. Pallier et al. (2003) did not provide any formal assessment of this. We remarked informally that the adoptees spoke French fluently without any noticeable accent. Yet, more stringent tests might reveal limitations in their control of French (Cutler, Mehler, Norris & Segui 1989). Two further experiments are relevant. The first assessed the sensitivity to phonotactic rules that differ between French and Korean. Korean speakers report hearing a vowel within certain types of illegal consonant clusters, a phenomenon known as vowel epenthesis (Dupoux, Kakehi, Hirose, Pallier & Mehler 1999). Korean participants who have been speaking French for a few years still experience this illusion. When we tested adoptees, however, we found that they perceived consonant clusters in the same way as native French speakers do. Their speech perception system has become tuned to French phonotactic properties.

The second experiment dealt with lexical gender, a feature which is particularly difficult to acquire for native speakers of Korean who learn French as an L2. The participants listened to French sentences, some of which contained either gender agreement mistakes or semantic anomalies. The participants were instructed to press a button as soon as they detected any type of anomaly in a sentence, allowing measurement of both error rates and reaction times. Beside a group of adoptees and a group of native French participants, the experiment also included a third group of native Koreans, speakers of French as an L2 who had been living in France for a few years. The results showed that, as expected, this last group had great difficulties in detecting gender agreement mistakes. By contrast, the adoptees' performance was similar to that of native speakers of French. This was the case even for the participants who arrived in France when they were around 8 to 10 years of age (and in none of the experiments did the age of adoption correlate with the participants' scores.)

These experiments demonstrate that the adoptees had mastered the phonotactics of French and its lexical gender system. Of course, one cannot exclude the possibility that more demanding tasks might eventually reveal deficiencies in the adoptees' control of French.

The set of data obtained in these series of experiments, as well as Mayberry et al.'s results on post-lingually deaf who learned ASL after 9 years of age, argue against irreversible modifications occurring in the first ten years of life, either be-

cause of maturational constraints or as a by-product of learning the L1. Provided one is exposed to a language in the first years of life, the language systems probably remain highly plastic until at least 10 years of age.

If so, one may ask why an L2 acquired in the first 10 years of life is not necessarily perfectly mastered? Our experiments on adoptees were inspired by the interference hypothesis according to which L2 acquisition is hampered by L1. To definitely prove this hypothesis, however, it would be necessary to compare the adoptees with immigrants having a similar background, and who have learned L2 while continuing to use their L1. If the interference account is correct, then the adoptees should have a better proficiency in the L2 than the immigrants. We hope that such a study can be conducted in the future.

Finally, it is interesting to know what happens when someone switches to a new language at an age above 10. In fact, studies of language attrition suggest that adults show much less attrition than children (Ammerlaan 1996; Pelc 2001 reviewed by Köpcke & Schmid 2004). Thus, language attrition, contrary to L2 acquisition, may show a discontinuity around puberty.

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A hidden language

Recovery of a 'lost' language is triggered by hypnosis

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Our case study confirms two previous studies demonstrating that a 'lost' language can be recovered by hypnosis. These results point to the existence of another type of attrition. Here a distinction is made between this type of attrition of a 'hidden language' (HL), that is, one that has not been forgotten but rather has become inaccessible to the speaker, and the traditionally studied attrition of a 'forgotten language' (FL). A conflict hypothesis is proposed to explain HL attrition. An attempt is made to show how these two types of attrition could be distinguished and to explain why brain imagery analyses are important for this distinction.

Keywords: hypnosis; neuroimaging; language forgetting; accessibility; Ewe

Introduction

Investigations into language attrition can encounter at least three different types of language loss, and for each type, loss can be either partial or total. The first type of language loss results in a language system that can be called Type AL (abnormal language), because the speech produced is clearly abnormal. This type is pathological in origin as a direct result of physiological damage to the brain or other parts of the speech system. This type of language loss is very well documented by the extensive literature on aphasia, agnosia, agrammatism, etc. (e.g. Caplan 1992; de Bleser 2003). A second type of attrited language system, non-pathological in nature, can be designated as Type FL for a forgotten language. This type occurs when a speaker has acquired a native language¹ which, either through lack of use together with the use of another one, or through interference when two or more

1. Attrition can also affect a second or foreign language, but here we are concerned with L1 attrition.